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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>		Attorney Docket No. <b>02650/100F807-US2</b>	
		First Inventor <b>Scott S. Campbell</b>	
		Title <b>REM SLEEP AUGMENTATION WITH EXTRA-OCULAR LIGHT</b>	
		Express Mail Label No. <b>KV 3066276754S</b>	
<b>APPLICATION ELEMENTS</b> <small>See MPEP chapter 600 concerning utility patent application contents.</small>		<b>ADDRESS TO:</b> MS Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	
<div>1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original, and a duplicate for fee processing)</small></div> <div>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</div> <div>3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages <b>25</b>]</span> <small>(preferred arrangement set forth below)</small><ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul></div> <div>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Sheets <b>6</b>]</span></div> <div>5. Oath or Declaration <span style="float: right;">[Total Sheets <b>2</b>]</span><div>a. <input type="checkbox"/> Newly executed (original or copy)</div><div>b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <small>(for continuation/divisional with Box 18 completed)</small><div>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</small></div></div></div> <div>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</div>		<div>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</div> <div>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)<div>a. <input type="checkbox"/> Computer Readable Form (CRF)</div><div>b. Specification Sequence Listing on:<div>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</div><div>ii. <input type="checkbox"/> Paper</div></div><div>c. <input type="checkbox"/> Statements verifying identity of above copies</div></div> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;"><b>ACCOMPANYING APPLICATION PARTS</b><div>9. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</div><div>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <small>(when there is an assignee)</small></div><div>11. <input type="checkbox"/> English Translation Document (if applicable)</div><div>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</div><div>13. <input type="checkbox"/> Preliminary Amendment</div><div>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small></div><div>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small></div><div>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</div><div>17. <input type="checkbox"/> Other: <div style="border: 1px solid black; height: 20px; width: 100%;"></div></div></div>	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: ☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: **09/937,324**  Prior application information: Examiner **Samuel G. Gilbert** Art Unit: **3736**  **For CONTINUING OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
**19. CORRESPONDENCE ADDRESS**			
☒ Customer Number: **07278** OR ☐ Correspondence address below			
Name **DARBY & DARBY P.C. Jason C. Chumney**			
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Name (Print/Type) **Jason C. Chumney**		Registration No. (Attorney/Agent) **54,178**	
Signature		Date **October 20, 2003**	

EXPRESS MAIL CERTIFICATE

Date 10/24/03 Label No. EV306621675US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 by "Express Mail Post Office to Addressee" service.

Pat Campbell  
Name (Print)

[Signature]  
Signature

Customer No.: 07278

Docket No. 02650/100F807US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Scott S. Campbell, *et al.*

For: REM SLEEP AUGMENTATION WITH EXTRA-OCULAR LIGHT

CONTINUING APPLICATION TRANSMITTAL SHEET

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

This is a request for filing under 37 C.F.R. 1.53(b) of a:

☒ Continuation ☐ Divisional

application of the following pending prior application:

Serial No. 09/937,324

Filed: September 20, 2001

Of: Scott S. Campbell, *et al.*

For: REM SLEEP AUGMENTATION WITH EXTRA-OCULAR LIGHT

Examiner: Cadugan, Joseph A.

Group: 3736

1. Enclosed is a copy of the prior application as received by the United States Patent and Trademark Office under 35 U.S.C. § 371(c)(2), modified to recite

the claims under 35 U.S.C. § 119, 120 and 371 per 37 C.F.R. § 1.78, (along with a copy of the original Declaration). This does not relate to the declaration. Please use this copy as the application and declaration for the present case.

2. The filing fee is calculated below:

CLAIMS AS FILED, AFTER ACCOMPANYING AMENDMENT

	Claims on File	Number Extra	Rate
Basic Fee . . . . .			\$750.00
Total Claims . . . . .	20 - 20 =	x \$18	\$0.00
Independent Claims . . . . .	3 - 3 =	0 x \$84	\$0.00
If Multiple Dependent Claims Are Present, Add \$280.00 . . . . .			\$0.00
Total Filing Fee . . . . .			\$0.00
For Small Entity (half of preceding total)* . . . . .			<u>\$375.00</u>

\*No. 9 below must be checked to claim this reduction.

3. A check in the amount of \$ 0.00 is enclosed.
4. ☒ Cancel claim 21.
5. ☒ The specification has been amended as follows. On page 1, after the title, the following paragraph has been added (check one and fill in):
- This is a continuation, of application Serial No. 09/937,324, filed September 20, 2001, which is incorporated herein by reference in its entirety, which is the national phase of PCT/US00/18820, filed July 7, 2000, which claims priority to U.S. application Serial No. 60/143,217, filed July 9, 1999.
6. ☒ The prior application is assigned to: Cornell Research Foundation, Inc.

Docket No. 02650/100F807US2

7. [X] An Information Disclosure Statement and PTO-1449 form listing 74 references is enclosed.

8. [X] Drawings are filed herewith

9. [X] Applicant claims small entity status

10. [X] Priority is claimed from  
Country: United States Provisional Application  
Number: 60/143,217  
Date: July 9, 1999

Country: International Patent Application  
Number: PCT/US00/18820  
Date: July 7, 2000

Country: United States Patent Application  
Number: Serial No. 09/937,324  
Date: September 20, 2001

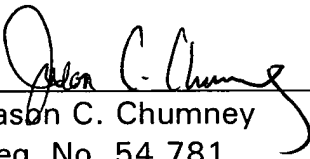
11. The Assignment

[X] was recorded at Reel 012310 Frame 0118 on September 20, 2001.

[ ] is enclosed.

Date: October 20, 2003

Respectfully submitted,

  
\_\_\_\_\_  
Jason C. Chumney  
Reg. No. 54,781

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Post Office Box 5257  
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Docket No. 02650/100F807US2

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I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. **EV 306627675** US in an envelope addressed to:

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Alexandria, VA 22313-1450

on October 20, 2003  
Date

Signature \_\_\_\_\_

Typed or printed name of person signing Certificate

**Note:** Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

- Utility Patent Application Transmittal Sheet (1)
- Application Data Sheet (2 pages)
- Continuing Application Transmittal Sheet (3 pages)
- Specification excluding claims (22 pages)
- Claims (3 pages)
- Drawings (6 sheets)
- Copy of executed Declaration (2 pages)
- Abstract (1 page)
- Information Disclosure Statement (3 pages)
- List of References PTO1449 form (3 pages)
- Postcard